

November 12, 2013

REPORT NO. 2013-49

**REPORT BY THE FINANCE AND HUMAN RESOURCES COMMITTEE SUBMITTING
INVESTMENT REPORT FOR THE THIRD QUARTER/YEAR 2013 BY THE RACINE
COUNTY TREASURER**

To the Honorable Members of the Racine County Board of Supervisors:

The Finance and Human Resources Committee hereby submits to the Racine County Board of Supervisors Investment Report for the third quarter/year 2013 that is attached hereto as Exhibit "A" by the Racine County Treasurer.

Respectfully submitted,

**FINANCE AND HUMAN RESOURCES
COMMITTEE**

Robert N. Miller, Chairman

Q.A. Shakoor, II, Vice-Chairman

Thomas Pringle, Secretary

Donnie Snow

Gilbert Bakke

John A. Wisch

Jeff Halbach

RACINE COUNTY TREASURER

3rd. QUARTER REPORT

September 30, 2013

| <u>INVESTMENTS:</u> | AVERAGE MONTHLY PRINCIPAL | ACTUAL YIELD | ESTIMATED ANNUAL YIELD |
|----------------------------|--------------------------------------|-------------------------|---------------------------------------|
|----------------------------|--------------------------------------|-------------------------|---------------------------------------|

| | | | |
|--------------------|------------------|-------|-------|
| <u>LGIP</u> | \$ 13,713,705.25 | 0.02% | 0.10% |
|--------------------|------------------|-------|-------|

| | | | |
|---|------------------|-------|--------|
| <u>DANA INVESTMENT PORTFOLIO</u> | \$ 30,058,622.78 | 0.16% | -0.29% |
|---|------------------|-------|--------|

WELLS FARGO

| | | | |
|-------------------|-----------------|--|--|
| Checking Accounts | \$ 1,048,131.66 | | |
|-------------------|-----------------|--|--|

| | | | |
|--------------------|------------------|-------|-------|
| Investment Account | \$ 32,979,813.54 | 0.04% | 0.15% |
|--------------------|------------------|-------|-------|

Respectfully submitted,

Jane F. Nikolai
Racine County Treasurer

RACINE COUNTY

INVESTMENT INCOME - 2013

September 30, 2013

| MONTH | WELLS FARGO- WACHOVIA SECURITIES | | DANA INVESTMENTS | WELLS FARGO INVESTMENTS | REPO INT - WELLS FARGO | LGIP | TOTALS |
|---------------------|-------------------------------------|--------------------|------------------------|----------------------------|------------------------------|---------------------|------------------------|
| | 4/1/2016 169105 | 02/01/17 961600 | | | | | |
| JAN. | 0.95 | 65.90 | 8,994.74 | 2,102.29 | - | 1,048.71 | \$ 12,212.59 |
| FEB | 0.93 | 64.73 | 61,510.43 | 2,948.85 | - | 1,414.81 | \$ 65,939.75 |
| MAR | 0.91 | 63.55 | 31,086.80 | 2,990.69 | - | 2,806.13 | \$ 36,948.08 |
| 1ST. QUARTER | \$ 2.79 | \$ 194.18 | \$ 101,591.97 | \$ 8,041.83 | \$ - | \$ 5,269.65 | \$ 115,100.42 |
| YEARLY | \$ 2.79 | \$ 194.18 | \$ 101,591.97 | \$ 8,041.83 | \$ - | \$ 5,269.65 | \$ 115,100.42 |
| APR | 0.89 | 62.37 | 21,878.55 | 3,506.03 | \$ - | 2,465.35 | \$ 27,913.19 |
| MAY | 0.87 | 0.00 | (145,532.93) | 3,275.12 | \$ - | 1,611.20 | \$ (140,645.74) |
| JUN | 0.85 | 0.00 | (145,357.65) | 2,450.64 | \$ - | 1,338.84 | \$ (141,567.32) |
| 2ND QUARTER | \$ 2.61 | \$ 62.37 | \$ (269,012.03) | \$ 9,231.79 | \$ - | \$ 5,415.39 | \$ (254,299.87) |
| YEARLY | \$ 5.40 | \$ 256.55 | \$ (167,420.06) | \$ 17,273.62 | \$ - | \$ 10,685.04 | \$ (139,199.45) |
| JUL | 0.83 | 0.00 | 49,989.20 | 4,134.73 | - | 1,171.99 | \$ 55,296.75 |
| AUG | 0.81 | 0.00 | (53,135.05) | 5,709.48 | - | 888.57 | \$ (46,536.19) |
| SEPT | 0.79 | 0 | 83,438.80 | 2,672.03 | - | 273.91 | \$ 86,385.53 |
| 3RD. QUARTER | \$ 2.43 | \$ - | \$ 80,292.95 | \$ 12,516.24 | \$ - | \$ 2,334.47 | \$ 95,146.09 |
| YEARLY | \$ 7.83 | \$ 256.55 | \$ (87,127.11) | \$ 29,789.86 | \$ - | \$ 13,019.51 | \$ (44,053.36) |
| OCT | | | | | | | \$ - |
| NOV | | | | | | | \$ - |
| DEC | | | | | | | \$ - |
| 4TH. QUARTER | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| 2013 YEARLY | \$ 7.83 | \$ 256.55 | \$ (87,127.11) | \$ 29,789.86 | \$ - | \$ 13,019.51 | \$ (44,053.36) |
| 2012 YEARLY | \$ 12.76 | \$ 878.50 | \$ 499,194.69 | \$ 25,782.66 | \$ 217.78 | \$ 31,635.76 | \$ 557,722.15 |